

**Dr. iur. Robert L. Rom, FCI Arb
Curriculum Vitae**

I. Educational and Professional History

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| 1973-1985 | Primary- and Highschool (Gymnasium) in Zurich. Matura Type D (Modern Languages), University of Zurich |
| 1985-1990 | University of Zurich, School of Law, Graduation in Law (lic. iur.) |
| 1991-1992 | Visiting Researcher at Columbia University, New York, School of Law, conducting research for doctoral thesis with benefit of unlimited access to classes in US and International Law |
| 1992 | Clerkship at District Court of Zurich |
| 1993-1994 | Internship at the Law Firm of Wenner Stierli & Partners, Zurich |
| 1994 | Completion of Dissertation "Die Behandlung der Rassendiskriminierung im Schweizerischen Strafrecht" (treatment of racial discrimination under Swiss Criminal Law), publication of one page- feature article on the topic in the "Neue Zürcher Zeitung, NZZ" |
| 1995 | Acceptance of Doctoral Dissertation by the University of Zurich; Admittance to the Zurich Bar Association |
| 1995-1998 | Group Legal Counsel at the Headquarters of UBS Bank in Zurich, responsible for matters of Corporate Banking and Corporate Finance in the regions Europe and North America |
| 1998-2002 | Practising Attorney at the Law Firm of Wenner Stierli & Partners, Zurich, since January 1999 as Partner. Primarily dealing with Corporate Law and Banking matters |
| Jan.-June 2002 | Manager (Vice President) at the Zurich office of the international Law Firm Andersen Legal, primarily advising clients in Banking-, M&A- and Capital Market matters |
| April 2002 | One page feature publication in the Business Section of "Neue Zürcher Zeitung, NZZ" on "Legal Aspects of Securities Lending" |
| July-Dec. 2002 | Vice President Ernst & Young, Banking and Financial Services Group ¹ |
| 2003-2018 | Senior Group Legal Counsel Swiss Life Group. Responsible for M&A, corporate development, strategic and regulatory matters and material Group litigation/arbitration in various jurisdictions |
| Sept. 16-June 17 | Course in International Commercial Arbitration, Chartered Institute of Arbitrators, Queen's College, Oxford; Diploma in International Arbitration; Admittance as Fellow of the Chartered Institute of Arbitrators (FCI Arb) |
| Dec. 2017 | Opening of rlr arbitration, an independent law firm in Zurich, focussing on international arbitration and commercial law |

¹ As a consequence of the US Enron-Affair most Andersen-Entities worldwide ceased to operate in June 2002 and merged with Ernst & Young. Most ex-Andersen Attorneys left E&Y shortly after that.

II. Additional Professional and Related Activities

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| 1995-1998 | Participation in several extensive training courses in the UK and the US on various fields of financial and commercial Law |
| 1998-2001 | Chairman of the Creditors Committee (mandated by over 200 creditors) in the bankruptcy procedure of a Swiss investment company that was used as a vehicle in a major cross-border investment fraud scheme (damage over CHF 100 million) |
| Since 1998 | Trustee on the Board of MIFNE, A Swiss Foundation for the worldwide support and training of autistic children |
| 1999-2002 | Swiss Counsel to the German Law Firm of Kleiner Rechtsanwälte, a leading German firm in the area of the representation of victims to major investment fraud schemes |
| 2000-2003 | Managing Director (mandate) of the Swiss-South African Association, an over 50 years-old lobbying organisation of large Swiss corporations with economic interests in Southern Africa. Regular meetings with political and economical leaders from South Africa and Switzerland, participation in the World Economic Forum (WEF) |
| 2001-2002 | Director on the Board and General Secretary of Sigma iFace AG, a Swiss subsidiary of the Sigma Group, a leading Swedish software manufacturer. The Swiss subsidiary developed Software for the local financial services sector (resignation due to conflict of interests) |
| 2004-2009 | Member of the Public Affairs Committee of the British-Swiss Chamber of Commerce, liaising with business leaders and public offices in Switzerland and the UK |
| 2011-2018 | Member of the Legal Committee of the Swiss-American Chamber of Commerce |
| June 2016 | Panelist/Speaker at the 9 th International M&A and Corporate Finance Summit in Montreux, Switzerland |
| March 2017 | Panelist/Speaker at the 5 th International Litigation Summit in Berlin, Germany |
| March 2019 | Publication of "Practical Aspects of the Cooperation between Arbitration Counsel and In-House Counsel through Different Stages of International Arbitration Procedures" in ASA Bulletin |

III. Additional Personal Information

Member of ASA, ICC, DIS, CEAC, CIArb, IBA, IPBA and the Russian Arbitration Centre

Bi-lingual in German and English; fluent in French and Italian; comfortable in Hebrew and Mandarin Chinese (HSK 3)

Swiss citizen. Married since 1992 to an art historian from New York, three sons (born 1993, 1995 and 2001)